



US008895073B2

(12) **United States Patent**  
**Boyan et al.**

(10) **Patent No.:** **US 8,895,073 B2**  
(45) **Date of Patent:** **\*Nov. 25, 2014**

(54) **HYDROGEL IMPLANT WITH SUPERFICIAL PORES**

(75) Inventors: **Barbara D. Boyan**, Atlanta, GA (US);  
**Robert E. Guldberg**, Marietta, GA  
(US); **Stephen J. Kennedy**, Alpharetta,  
GA (US); **David N. Ku**, Decatur, GA  
(US); **Zvi Schwartz**, Atlanta, GA (US)

(73) Assignee: **Georgia Tech Research Corporation**,  
Atlanta, GA (US)

(\*) Notice: Subject to any disclaimer, the term of this  
patent is extended or adjusted under 35  
U.S.C. 154(b) by 866 days.

This patent is subject to a terminal dis-  
claimer.

(21) Appl. No.: **13/052,826**

(22) Filed: **Mar. 21, 2011**

(65) **Prior Publication Data**

US 2011/0172771 A1 Jul. 14, 2011

**Related U.S. Application Data**

(63) Continuation of application No. 11/053,409, filed on  
Feb. 7, 2005, now Pat. No. 7,910,124.

(60) Provisional application No. 60/542,389, filed on Feb.  
6, 2004.

(51) **Int. Cl.**

**A61K 9/50** (2006.01)

**A61L 27/56** (2006.01)

**A61F 2/44** (2006.01)

**A61L 27/52** (2006.01)

**A61F 2/30** (2006.01)

(52) **U.S. Cl.**

CPC ..... **A61L 27/56** (2013.01); **A61F 2/442**  
(2013.01); **A61L 27/52** (2013.01); **A61F 2/3094**  
(2013.01); **A61F 2002/30011** (2013.01); **A61F**  
**2002/30014** (2013.01); **A61F 2002/30784**

(2013.01); **A61F 2250/0018** (2013.01); **A61F**  
**2250/0023** (2013.01); **A61L 2430/38** (2013.01)

USPC ..... **424/497**; 424/498

(58) **Field of Classification Search**

CPC .. **A61K 9/5026**; **A61K 9/5031**; **A61L 31/145**;  
**A61L 27/56**

See application file for complete search history.

(56) **References Cited**

**U.S. PATENT DOCUMENTS**

3,276,996 A 10/1966 Lazare  
3,663,470 A 5/1972 Nishimura et al.

(Continued)

**FOREIGN PATENT DOCUMENTS**

DE 20218703 U1 3/2003  
EP 0222404 A1 5/1987

(Continued)

**OTHER PUBLICATIONS**

International Search Report for Application No. PCT/US2005/03899  
mailed Jul. 15, 2008 (PCT/US2005/03899 is the corresponding PCT  
application of U.S. Appl. No. 11/053,410).

(Continued)

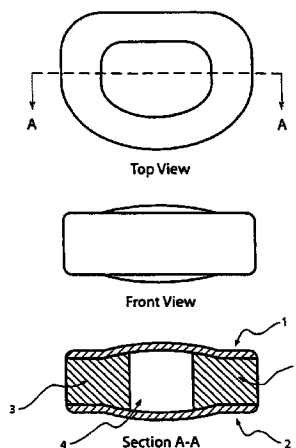
*Primary Examiner* — Carlos Azpuru

(74) *Attorney, Agent, or Firm* — Knobbe, Martens, Olson &  
Bear, LLP

(57) **ABSTRACT**

Implantable biomaterials, particularly hydrogel substrates  
with porous surfaces, and methods for enhancing the com-  
patibility of biomaterials with living tissue, and for causing  
physical attachment between biomaterials and living tissues  
are provided. Also provided are implants suitable for load-  
bearing surfaces in hard tissue repair, replacement, or aug-  
mentation, and to methods of their use. One embodiment of  
the invention relates to an implantable spinal disc prosthesis.

**18 Claims, 2 Drawing Sheets**



(56)

**References Cited**

## U.S. PATENT DOCUMENTS

3,673,612 A	7/1972	Merrill et al.	5,541,234 A	7/1996	Unger et al.
3,849,238 A	11/1974	Gould et al.	5,545,229 A	8/1996	Parsons et al.
3,859,421 A	1/1975	Hucke	5,556,429 A	9/1996	Felt
4,083,906 A	4/1978	Schindler et al.	5,556,431 A	9/1996	Buttner-Janz
4,205,400 A	6/1980	Shen et al.	5,578,217 A	11/1996	Unger et al.
4,351,069 A	9/1982	Ballintyn et al.	5,626,861 A	5/1997	Laurencin et al.
4,472,542 A	9/1984	Nambu	5,645,592 A	7/1997	Nicolais et al.
4,517,295 A	5/1985	Bracke et al.	5,656,450 A	8/1997	Boyan et al.
4,524,064 A	6/1985	Nambu	5,658,329 A	8/1997	Purkait
4,609,337 A	9/1986	Wichterle et al.	5,674,241 A	10/1997	Bley et al.
4,663,358 A	5/1987	Hyon et al.	5,674,295 A	10/1997	Ray et al.
4,664,857 A	5/1987	Nambu	5,674,296 A	10/1997	Bryan et al.
4,693,939 A	9/1987	Ofstead	5,688,459 A	11/1997	Mao et al.
4,731,081 A	3/1988	Tiffany et al.	5,700,289 A	12/1997	Breitbart et al.
4,734,097 A	3/1988	Tanabe et al.	5,705,780 A	1/1998	Bao
4,738,255 A	4/1988	Goble et al.	5,716,416 A	2/1998	Lin
4,753,761 A	6/1988	Suzuki	5,750,585 A	5/1998	Park et al.
4,759,766 A	7/1988	Buttner-Janz et al.	5,766,618 A	6/1998	Laurencin et al.
4,772,284 A	9/1988	Jefferies et al.	5,769,897 A	6/1998	Harle
4,784,990 A	11/1988	Nimrod et al.	5,789,464 A	8/1998	Muller
4,787,905 A	11/1988	Loi	5,795,353 A	8/1998	Felt
4,808,353 A	2/1989	Nambu et al.	5,824,093 A	10/1998	Ray et al.
4,828,493 A	5/1989	Nambu et al.	5,824,094 A	10/1998	Serhan et al.
4,851,168 A	7/1989	Graiver et al.	5,844,016 A	12/1998	Sawhney et al.
4,911,720 A	3/1990	Collier	5,847,046 A	12/1998	Jiang et al.
4,916,170 A	4/1990	Nambu	5,855,610 A	1/1999	Vacanti et al.
4,988,761 A	1/1991	Ikada et al.	5,863,297 A	1/1999	Walter et al.
4,995,882 A	2/1991	Destouet et al.	5,863,551 A	1/1999	Woerly
5,047,055 A	9/1991	Bao et al.	5,876,452 A	3/1999	Anthanasίου et al.
5,080,674 A	1/1992	Jacobs et al.	5,876,741 A	3/1999	Ron
5,095,037 A	3/1992	Iwamitsu et al.	5,880,216 A	3/1999	Tanihara et al.
5,106,743 A	4/1992	Franzblau et al.	5,900,245 A	5/1999	Sawhney et al.
5,106,876 A	4/1992	Kawamura	5,916,585 A	6/1999	Cook et al.
5,108,428 A	4/1992	Capecchi et al.	5,925,626 A	7/1999	Della Valle et al.
5,108,436 A	4/1992	Chu et al.	5,928,239 A	7/1999	Mirza
5,118,667 A	6/1992	Adams et al.	5,935,129 A	8/1999	McDevitt et al.
5,141,973 A	8/1992	Kobayashi et al.	5,944,754 A	8/1999	Vacanti
5,171,322 A	12/1992	Kenny	5,947,844 A	9/1999	Shimosaka et al.
5,171,574 A	12/1992	Kuberasampath et al.	5,948,829 A	9/1999	Wallajapet et al.
5,192,326 A	3/1993	Bao et al.	5,957,787 A	9/1999	Hwang
5,206,023 A	4/1993	Hunziker	5,976,186 A	11/1999	Bao et al.
5,219,360 A	6/1993	Georgiade	5,981,826 A	11/1999	Ku et al.
5,234,456 A	8/1993	Silvestrini	6,001,352 A	12/1999	Boyan et al.
5,244,799 A	9/1993	Anderson	6,027,744 A	2/2000	Vacanti et al.
5,258,023 A	11/1993	Reger	6,060,534 A	5/2000	Ronan et al.
5,258,042 A	11/1993	Mehta	6,093,205 A	7/2000	McLeod et al.
5,258,043 A	11/1993	Stone	6,102,954 A	8/2000	Albrektsson et al.
5,260,066 A	11/1993	Wood et al.	6,103,255 A	8/2000	Levene et al.
5,287,857 A	2/1994	Mann	6,132,465 A	10/2000	Ray et al.
5,288,503 A	2/1994	Wood et al.	6,156,067 A	12/2000	Bryan et al.
5,290,494 A	3/1994	Coombes et al.	6,171,610 B1	1/2001	Vacanti et al.
5,314,477 A	5/1994	Marnay	6,187,329 B1	2/2001	Agrawal et al.
5,314,478 A	5/1994	Oka et al.	6,206,927 B1	3/2001	Fell
5,326,364 A	7/1994	Clift, Jr. et al.	6,224,630 B1	5/2001	Bao et al.
5,336,551 A	8/1994	Gravier et al.	6,231,605 B1	5/2001	Ku
5,336,767 A	8/1994	Della Valle et al.	6,255,359 B1	7/2001	Agrawal et al.
5,343,877 A	9/1994	Park	6,264,695 B1	7/2001	Stoy
5,344,459 A	9/1994	Swartz	6,268,405 B1	7/2001	Yao et al.
5,346,935 A	9/1994	Suzuki et al.	6,271,278 B1	8/2001	Park et al.
5,397,572 A	3/1995	Coombes et al.	6,280,475 B1	8/2001	Bao et al.
5,399,591 A	3/1995	Smith et al.	6,337,198 B1	1/2002	Levene et al.
5,401,269 A	3/1995	Buttner-Janz et al.	6,340,369 B1	1/2002	Ferree
5,409,904 A	4/1995	Hecht et al.	6,341,952 B2	1/2002	Gaylo et al.
5,410,016 A	4/1995	Hubbell et al.	6,344,058 B1	2/2002	Ferree
5,442,053 A	8/1995	Della Valle et al.	6,355,699 B1	3/2002	Vyakarnam et al.
5,458,643 A	10/1995	Oka et al.	6,358,251 B1	3/2002	Mirza
5,458,645 A	10/1995	Bertin	6,371,984 B1	4/2002	Van Dyke et al.
5,489,310 A	2/1996	Mikhail	6,376,573 B1	4/2002	White et al.
5,490,962 A	2/1996	Cima et al.	6,379,962 B1	4/2002	Holy et al.
5,492,697 A	2/1996	Boyan et al.	6,383,519 B1	5/2002	Sapieszko et al.
5,494,940 A	2/1996	Unger et al.	6,402,784 B1	6/2002	Wardlaw
5,502,082 A	3/1996	Unger et al.	6,402,785 B1	6/2002	Zdeblick et al.
5,512,475 A	4/1996	Naughton et al.	6,419,704 B1	7/2002	Ferree
5,522,898 A	6/1996	Bao	6,428,576 B1	8/2002	Haldimann
5,534,028 A	7/1996	Bao et al.	6,451,059 B1	9/2002	Janas et al.
			6,472,210 B1	10/2002	Holy et al.
			6,482,234 B1	11/2002	Weber et al.
			6,531,523 B1	3/2003	Davankov et al.
			6,533,818 B1	3/2003	Weber et al.

(56)

## References Cited

## U.S. PATENT DOCUMENTS

6,534,084	B1	3/2003	Vyakarnam et al.	2002/0183845	A1	12/2002	Mansmann
6,558,421	B1	5/2003	Fell et al.	2002/0183848	A1	12/2002	Ray et al.
6,602,291	B1	8/2003	Ray et al.	2002/0187182	A1	12/2002	Kramer et al.
6,607,558	B2	8/2003	Kuras	2003/0008395	A1	1/2003	Holy et al.
6,610,094	B2	8/2003	Husson	2003/0008396	A1	1/2003	Ku
6,629,997	B2	10/2003	Mansmann	2003/0021823	A1	1/2003	Landers et al.
6,645,248	B2	11/2003	Casutt	2003/0055505	A1	3/2003	Sicotte et al.
6,667,049	B2	12/2003	Janas et al.	2003/0059463	A1	3/2003	Lahtinen
6,686,437	B2	2/2004	Buchman et al.	2003/0082808	A1	5/2003	Guan et al.
6,707,558	B2	3/2004	Bennett	2003/0175656	A1	9/2003	Livne et al.
6,710,126	B1	3/2004	Hirt et al.	2003/0176922	A1	9/2003	Lawson et al.
6,726,721	B2	4/2004	Stoy et al.	2003/0199984	A1	10/2003	Trieu
6,733,533	B1	5/2004	Lozier	2003/0220695	A1	11/2003	Sevrain
6,734,000	B2	5/2004	Chin et al.	2003/0233150	A1	12/2003	Bourne et al.
6,740,118	B2	5/2004	Eisermann et al.	2004/0010048	A1	1/2004	Evans et al.
6,773,713	B2	8/2004	Bonassar et al.	2004/0024465	A1	2/2004	Lambrech et al.
6,783,546	B2	8/2004	Zucherman et al.	2004/0044412	A1	3/2004	Lambrech et al.
6,800,298	B1	10/2004	Burdick et al.	2004/0052867	A1	3/2004	Canham
6,802,863	B2	10/2004	Lawson et al.	2004/0059415	A1	3/2004	Schmieding
6,827,743	B2	12/2004	Eisermann et al.	2004/0059425	A1	3/2004	Schmieding
6,840,960	B2	1/2005	Bubb	2004/0063200	A1	4/2004	Chaikof et al.
6,849,092	B2	2/2005	Van Dyke et al.	2004/0064195	A1	4/2004	Herr
6,855,743	B1	2/2005	Gvozdic	2004/0073312	A1	4/2004	Eisermann et al.
6,875,232	B2	4/2005	Nigam	2004/0092653	A1	5/2004	Ruberti et al.
6,875,386	B1	4/2005	Ward et al.	2004/0117022	A1	6/2004	Marnay et al.
6,875,442	B2	4/2005	Holy et al.	2004/0143327	A1	7/2004	Ku
6,878,384	B2	4/2005	Cruise et al.	2004/0143329	A1	7/2004	Ku
6,881,228	B2	4/2005	Zdeblick et al.	2004/0143333	A1	7/2004	Bain et al.
6,893,463	B2	5/2005	Fell	2004/0147016	A1	7/2004	Rowley et al.
6,893,466	B2	5/2005	Trieu	2004/0171143	A1	9/2004	Chin et al.
6,923,811	B1	8/2005	Carl et al.	2004/0172135	A1	9/2004	Mitchell
6,960,617	B2	11/2005	Omidian et al.	2004/0220296	A1	11/2004	Lowman et al.
6,982,298	B2	1/2006	Calabro et al.	2004/0220669	A1	11/2004	Studer
6,993,406	B1	1/2006	Cesarano, III et al.	2004/0220670	A1	11/2004	Eisermann et al.
7,008,635	B1	3/2006	Coury et al.	2004/0249465	A1	12/2004	Ferree
7,012,034	B2	3/2006	Heide et al.	2005/0037052	A1	2/2005	Udipi et al.
7,022,522	B2	4/2006	Guan et al.	2005/0043733	A1	2/2005	Eisermann et al.
7,048,766	B2	5/2006	Ferree	2005/0043802	A1	2/2005	Eisermann et al.
7,052,515	B2	5/2006	Simonson	2005/0049706	A1	3/2005	Brodke et al.
7,060,097	B2	6/2006	Fraser et al.	2005/0055094	A1	3/2005	Kuslich
7,066,958	B2	6/2006	Ferree	2005/0055099	A1	3/2005	Ku
7,066,960	B1	6/2006	Dickman	2005/0071003	A1	3/2005	Ku
7,083,649	B2	8/2006	Zucherman et al.	2005/0074877	A1	4/2005	Mao
7,091,191	B2	8/2006	Laredo et al.	2005/0079200	A1	4/2005	Rathenow et al.
7,156,877	B2	1/2007	Lotz et al.	2005/0090901	A1	4/2005	Studer
7,186,419	B2	3/2007	Petersen	2005/0096744	A1	5/2005	Trieu et al.
7,201,774	B2	4/2007	Ferree	2005/0106255	A1	5/2005	Ku
7,201,776	B2	4/2007	Ferree et al.	2005/0137677	A1	6/2005	Rush
7,214,245	B1	5/2007	Marcolongo et al.	2005/0137707	A1	6/2005	Malek
7,217,294	B2	5/2007	Kusanagi et al.	2005/0143826	A1	6/2005	Zucherman et al.
7,235,592	B2	6/2007	Muratoglu et al.	2005/0149196	A1	7/2005	Zucherman et al.
7,250,060	B2	7/2007	Trieu	2005/0154462	A1	7/2005	Zucherman et al.
7,258,692	B2	8/2007	Thelen et al.	2005/0154463	A1	7/2005	Trieu
7,264,634	B2	9/2007	Schmieding	2005/0169963	A1	8/2005	Van Dyke et al.
7,282,165	B2	10/2007	Williams, III et al.	2005/0171608	A1	8/2005	Peterman et al.
7,291,169	B2	11/2007	Hodorek	2005/0177238	A1	8/2005	Khandkar et al.
7,316,919	B2	1/2008	Childs et al.	2005/0209704	A1	9/2005	Maspero et al.
7,332,117	B2	2/2008	Higham et al.	2005/0216087	A1	9/2005	Zucherman et al.
7,357,798	B2	4/2008	Sharps et al.	2005/0228500	A1	10/2005	Kim et al.
7,377,942	B2	5/2008	Berry	2005/0233454	A1	10/2005	Nies et al.
7,682,540	B2	3/2010	Boyan et al.	2005/0244449	A1	11/2005	Sayer et al.
7,828,853	B2	11/2010	Ek et al.	2005/0260178	A1	11/2005	Vandenburgh et al.
7,910,124	B2	3/2011	Boyan et al.	2005/0261682	A1	11/2005	Ferree
8,002,830	B2	8/2011	Boyan et al.	2005/0273176	A1	12/2005	Ely et al.
8,142,808	B2	3/2012	Boyan et al.	2005/0277921	A1	12/2005	Eisermann et al.
8,318,192	B2	11/2012	Boyan et al.	2005/0278025	A1	12/2005	Ku et al.
2001/0029399	A1	10/2001	Ku	2005/0287187	A1	12/2005	Mansmann
2001/0038831	A1	11/2001	Park et al.	2006/0002890	A1	1/2006	Hersel et al.
2001/0046488	A1	11/2001	Vandenburgh et al.	2006/0052874	A1	3/2006	Johnson et al.
2002/0026244	A1	2/2002	Trieu	2006/0052875	A1	3/2006	Bernero et al.
2002/0031500	A1	3/2002	MacLaughlin et al.	2006/0052878	A1	3/2006	Schmieding
2002/0034646	A1	3/2002	Canham	2006/0058413	A1	3/2006	Leistner et al.
2002/0072116	A1	6/2002	Bhatia et al.	2006/0064172	A1	3/2006	Trieu
2002/0140137	A1	10/2002	Sapieszko et al.	2006/0064173	A1	3/2006	Guederian
2002/0173855	A1	11/2002	Mansmann	2006/0083728	A1	4/2006	Kusanagi et al.
				2006/0100304	A1	5/2006	Vresilovic et al.
				2006/0121609	A1	6/2006	Yannas et al.
				2006/0122706	A1	6/2006	Lo
				2006/0136064	A1	6/2006	Sherman

(56)

## References Cited

## U.S. PATENT DOCUMENTS

2006/0136065 A1 6/2006 Gontarz et al.  
 2006/0200250 A1 9/2006 Ku  
 2006/0206209 A1 9/2006 Cragg et al.  
 2006/0224244 A1 10/2006 Thomas et al.  
 2006/0229721 A1 10/2006 Ku  
 2006/0235541 A1 10/2006 Hodorek  
 2006/0257560 A1 11/2006 Barone et al.  
 2006/0259144 A1 11/2006 Trieu  
 2006/0282165 A1 12/2006 Pisharodi  
 2006/0282166 A1 12/2006 Molz et al.  
 2006/0287730 A1 12/2006 Segal et al.  
 2006/0293561 A1 12/2006 Abay  
 2006/0293751 A1 12/2006 Lotz et al.  
 2007/0010889 A1 1/2007 Francis  
 2007/0014867 A1 1/2007 Kusanagi et al.  
 2007/0032873 A1 2/2007 Pisharodi  
 2007/0038301 A1 2/2007 Hudgins  
 2007/0043441 A1 2/2007 Pisharodi  
 2007/0067036 A1 3/2007 Hudgins et al.  
 2007/0073402 A1 3/2007 Vresilovic et al.  
 2007/0093906 A1 4/2007 Hudgins et al.  
 2007/0106387 A1 5/2007 Marcolongo et al.  
 2007/0116678 A1 5/2007 Sung et al.  
 2007/0118218 A1 5/2007 Hooper  
 2007/0118225 A1 5/2007 Hestad et al.  
 2007/0134333 A1 6/2007 Thomas et al.  
 2007/0135922 A1 6/2007 Trieu  
 2007/0142326 A1 6/2007 Shue  
 2007/0162135 A1 7/2007 Segal et al.  
 2007/0164464 A1 7/2007 Ku  
 2007/0167541 A1 7/2007 Ruberti et al.  
 2007/0168039 A1 7/2007 Trieu  
 2007/0173951 A1 7/2007 Wijlaars et al.  
 2007/0179606 A1 8/2007 Huyghe et al.  
 2007/0179614 A1 8/2007 Heinz et al.  
 2007/0179615 A1 8/2007 Heinz et al.  
 2007/0179617 A1 8/2007 Brown et al.  
 2007/0179618 A1 8/2007 Trieu et al.  
 2007/0179620 A1 8/2007 Seaton, Jr. et al.  
 2007/0179621 A1 8/2007 McClellan, III et al.  
 2007/0179622 A1 8/2007 Denozziere et al.  
 2007/0196454 A1 8/2007 Stockman et al.  
 2007/0202074 A1 8/2007 Shalaby  
 2007/0203095 A1 8/2007 Sadozai et al.  
 2007/0203580 A1 8/2007 Yeh  
 2007/0208426 A1 9/2007 Trieu  
 2007/0213718 A1 9/2007 Trieu  
 2007/0213822 A1 9/2007 Trieu  
 2007/0213823 A1 9/2007 Trieu  
 2007/0213824 A1 9/2007 Trieu  
 2007/0213825 A1 9/2007 Thramann  
 2007/0224238 A1 9/2007 Mansmann et al.  
 2007/0225823 A1 9/2007 Hawkins et al.  
 2007/0227547 A1 10/2007 Trieu  
 2007/0233259 A1 10/2007 Muhanna et al.  
 2007/0265626 A1 11/2007 Seme  
 2007/0270876 A1 11/2007 Kuo et al.  
 2007/0270970 A1 11/2007 Trieu  
 2007/0270971 A1 11/2007 Trieu et al.  
 2007/0299540 A1 12/2007 Ku  
 2008/0004707 A1 1/2008 Cragg et al.  
 2008/0015697 A1 1/2008 McLeod et al.  
 2008/0021563 A1 1/2008 Chudzik  
 2008/0031962 A1 2/2008 Boyan et al.  
 2008/0045949 A1 2/2008 Hunt et al.  
 2008/0051889 A1 2/2008 Hodorek  
 2008/0057128 A1 3/2008 Li et al.  
 2008/0075657 A1 3/2008 Abrahams et al.  
 2008/0077242 A1 3/2008 Reo et al.  
 2008/0077244 A1 3/2008 Robinson  
 2008/0097606 A1 4/2008 Cragg et al.  
 2008/0103599 A1 5/2008 Kim et al.  
 2008/0114367 A1 5/2008 Meyer  
 2008/0125870 A1 5/2008 Carmichael et al.  
 2008/0131425 A1 6/2008 Garcia et al.

2008/0145404 A1 6/2008 Hill et al.  
 2008/0154372 A1 6/2008 Peckham  
 2008/0166329 A1 7/2008 Sung et al.  
 2008/0279941 A1 11/2008 Boyan et al.  
 2008/0279943 A1 11/2008 Boyan et al.  
 2009/0182421 A1 7/2009 Silvestrini et al.  
 2009/0263446 A1 10/2009 Boyan et al.  
 2010/0198258 A1 8/2010 Heaven et al.  
 2011/0040332 A1 2/2011 Culbert et al.  
 2011/0172771 A1 7/2011 Boyan et al.

## FOREIGN PATENT DOCUMENTS

EP 0222407 A2 5/1987  
 EP 0346129 A1 12/1989  
 EP 0505634 A1 9/1992  
 EP 0410010 B1 10/1993  
 EP 0411105 B1 6/1995  
 EP 0845480 A1 6/1998  
 EP 0919209 A1 6/1999  
 EP 1287796 A1 3/2003  
 EP 1030697 B1 8/2003  
 EP 1344538 A1 9/2003  
 EP 1584338 A2 10/2005  
 EP 1482996 B1 11/2005  
 GB 02056882 A 3/1981  
 GB 02128501 A 5/1984  
 JP 02-184580 7/1990  
 JP 04053843 2/1992  
 JP 07247365 9/1995  
 JP 11035732 2/1999  
 JP 2005-199054 7/2005  
 JP 2006-101893 4/2006  
 WO WO90/07545 A2 7/1990  
 WO WO90/07575 A1 7/1990  
 WO WO90/10018 A1 9/1990  
 WO WO93/16664 A1 9/1993  
 WO WO94/01483 A1 1/1994  
 WO WO95/25183 A1 9/1995  
 WO WO97/06101 A1 2/1997  
 WO WO97/46178 A1 12/1997  
 WO WO98/02146 A2 1/1998  
 WO WO98/50017 A1 11/1998  
 WO WO99/25391 A2 5/1999  
 WO WO99/34845 A1 7/1999  
 WO WO00/30998 A1 6/2000  
 WO WO00/42991 A1 7/2000  
 WO WO00/62829 A1 10/2000  
 WO WO00/66191 11/2000  
 WO WO01/02033 A1 1/2001  
 WO WO01/22902 A2 4/2001  
 WO WO01/59160 A1 8/2001  
 WO WO01/64030 A1 9/2001  
 WO WO01/70436 A1 9/2001  
 WO WO01/91822 A1 12/2001  
 WO WO02/09647 A2 2/2002  
 WO WO02/30480 A1 4/2002  
 WO WO02/064182 A3 8/2002  
 WO WO03/030787 A1 4/2003  
 WO WO03/092760 A1 11/2003  
 WO WO2004/060554 A1 7/2004  
 WO WO2004/101013 A1 11/2004  
 WO WO2005/077013 A2 8/2005  
 WO WO2005/077304 A1 8/2005  
 WO WO2005/097006 A2 10/2005  
 WO WO2006/018531 A2 2/2006  
 WO WO2006/019634 A1 2/2006  
 WO WO2006/030054 A1 3/2006  
 WO WO2006/034365 A2 3/2006

## OTHER PUBLICATIONS

International Search Report for Application No. PCT/US2005/004045 mailed Jul. 15, 2005 (PCT/US2005/004045 is the corresponding PCT application of U.S. Appl. No. 11/053,409).  
 Andrade et al., "Water as a Biomaterial," Trans. Am. Soc. Artif. Intern. Organs, 19:1 (1973).

(56)

## References Cited

## OTHER PUBLICATIONS

- Ariga et al., "Immobilization of Microorganisms with PVA Hardened by Iterative Freezing and Thawing," *Journal of Fermentation Technology*, 65(6): pp. 651-658 (1987).
- Boyan et al., "Effect of Titanium Surface Characteristics on Chondrocytes and Osteoblasts in Vitro," *Cells and Materials*, vol. 5, No. 4, pp. 323-335 (1995).
- Boyan et al., "Osteoblast-Mediated Mineral Deposition in Culture is Dependent on Surface Microtopography," *Calcif. Tissue Int.*, 71:519-529 (2002).
- Brunette, "The Effects of Implant Surface Topography on the Behavior of Cells," *Int. J. Oral Maxillofac Implants*, 3:231-240 (1988).
- Chen et al., "Boundary layer infusion of heparin prevents thrombosis and reduces neointimal hyperplasia in venous polytetrafluoroethylene grafts without system anticoagulation," *J. Vascular Surgery*, 22:237-247 (1995).
- Chu et al., "Polyvinyl Alcohol Cryogel: An Ideal Phantom Material for MR Studies of Arterial Elasticity," *Magnetic Resonance in Medicine*, v. 37, pp. 314-319 (1997).
- Hickey et al., "Mesh size and diffusive characteristics of semicrystalline poly(vinyl alcohol) membranes prepared by freezing/thawing techniques," *Journal of Membrane Science*, 107(3), pp. 229-237 (1995).
- Hoffman et al., "Interactions of Blood and Blood Components at Hydrogel Interfaces," *Ann. New York Acad. Sci.*, 283:372-382 (1977).
- Hunt, Knee Simulation, Creep, and Friction Tests of Poly(Vinyl Alcohol) Hydrogels Manufactured Using Injection Molding and Solution Casting, Thesis for M.S., University of Notre Dame (Jul. 2006).
- Katta et al., "Friction and wear behavior of poly(vinyl alcohol)/poly(vinyl pyrrolidone) hydrogels for articular cartilage replacement," *Journal of Biomedical Materials Research*, vol. 83A, pp. 471-479 (2007).
- Kieswetter et al., "The Role of Implant Surface Characteristics in the Healing of Bone," *Crit. Rev. Oral Biol. Med.*, 7(4):329-345 (1996).
- Kieswetter et al., "Surface roughness modulates the local production of growth factors and cytokines by osteoblast-like MG-63 cells," *Journal of Biomedical Materials Research*, vol. 32, pp. 55-63 (1996).
- Kobayashi et al., "Characterization of a polyvinyl alcohol-hydrogel artificial articular cartilage prepared by injection molding," *J. Biomater. Sci. Polymer Edn.*, 15(6): 741-751 (2003).
- Kobayashi et al., "Development of an artificial meniscus using polyvinyl alcohol-hydrogel for early return to, and continuance of, athletic life in sportspersons with severe meniscus injury. I: mechanical evaluation," *The Knee*, 10 (2003); 47-51.
- Kohavi et al., "Markers of primary mineralization are correlated with bone-bonding ability of titanium or stainless steel in vivo," *Clin. Oral. Impl. Res.*, 6:1-13 (1995).
- Koutsopoulos et al., "Calcification of porcine and human cardiac valves: testing of various inhibitors for antiminerallization," *J. Mater. Sci. Mater. Med.*, 9:421-424 (1998).
- Kwak, BK, et al., "Chitin-based Embolic Materials in the Renal Artery of Rabbits: Pathologic Evaluation of an Absorbable Particulate Agent", *Radiology*, 236:151-158 (2005).
- Landolt et al., "Electrochemical micromachining, polishing and surface structuring of metals: fundamental aspects and new developments", Elsevier Science Ltd., pp. 3185-3201 (2003).
- Lazzeri et al., "Physico-chemical and mechanical characterization of hydrogels of poly(vinyl alcohol) and hyaluronic acid," *J. Mater. Sci. In Med.*, 5:862-867 (1994).
- Liao et al., "Response of rat osteoblast-like cells to microstructured model surfaces in vitro," *Biomaterials*, 24, pp. 649-654 (2003).
- Lozinsky et al., "Study of cryostructurization of polymer systems. VII. Structure formation under freezing of poly(vinyl alcohol) aqueous solutions," *Colloid & Polymer Science*, vol. 264, pp. 19-24 (1986).
- Lozinsky et al., "Study of Cryostructurization of Polymer Systems. XII. Poly(vinyl alcohol) Cryogels: Influence of Low-Molecular Electrolytes," *Journal of Applied Polymer Science*, vol. 61, pp. 1991-1998 (1996).
- Lozinsky et al., "Study of Cryostructurization of Polymer Systems. XI. The Formation of PVA Cryogels by Freezing-Thawing the Polymer Aqueous Solutions Containing Additives of Some Polyols," *Journal of Applied Polymer Science*, vol. 58, pp. 171-177 (1995).
- Lozinsky et al., "Poly(vinyl alcohol) cryogels employed as matrices for cell immobilization. 2. Entrapped cells resemble porous fillers in their effects on the properties of PVA-cryogel carrier," *Enzyme and Microbial Technology*, vol. 20, No. 3, pp. 182-190 (1997).
- Lozinsky et al., "Poly(vinyl alcohol) cryogels employed as matrices for cell immobilization. 3. Overview of recent research and developments," *Enzyme and Microbial Technology*, vol. 23, No. 3-4, pp. 227-242 (1998).
- Lusta et al., "Immobilization of fungus *Aspergillus* sp. by a novel cryogel technique for production of extracellular hydrolytic enzymes", *Process Biochemistry*, vol. 35, pp. 1177-1182 (2000).
- Ma et al., "Friction Properties of novel PVP/PVA blend hydrogels as artificial cartilage," *Journal of Biomedical Materials Research*, vol. 93A, pp. 1016-1019 (2010).
- Martin et al., "Effect of titanium surface roughness on proliferation, differentiation, and protein synthesis of human osteoblast-like cells (MG63)," *Journal of Biomedical Materials Research*, vol. 29, pp. 389-401 (1995).
- Nagura et al., "Structure of poly(vinyl alcohol) hydrogel prepared by repeated freezing and melting," *Polymer*, 30:762-765 (1989).
- Nakashima et al., "Study on Wear Reduction Mechanisms of Artificial Cartilage by Synergistic Protein Boundary Film Formation," *Japan Soc'y of Mech. Eng'r Int'l J.*, Series C, vol. 48, No. 4, pp. 555-561 (2005).
- Oka et al., "Development of an Artificial Articular Cartilage", *Clinical Materials*, vol. 6, pp. 361-381 (1990).
- Ong et al., "Osteoblast Responses to BMP-2-Treated Titanium In Vitro," *The International Journal of Oral & Maxillofacial Implants*, vol. 12, No. 5, pp. 649-654 (1997).
- Peppas et al., "Reinforced uncrosslinked poly(vinyl alcohol) gels produced by cyclic freezing-thawing processes: a short review," *Journal of Controlled Release*, 16(3): 305-310 (1991).
- Peppas et al., "Structure of Hydrogels by Freezing-Thawing Cyclic Processing," *Bulletin of the American Physical Society*, 36:582 (1991).
- Peppas et al., "Controlled release from poly(vinyl alcohol) gels prepared by freezing-thawing processes," *Journal of Controlled Release*, vol. 18, pp. 95-100 (1992).
- Peppas et al., "Ultrapure poly(vinyl alcohol) hydrogels with mucoadhesive drug delivery characteristics," *European Journal of Pharmaceutics and Biopharmaceutics*, 43(1): 51-58 (1997).
- Ratner et al., *Biomaterials Science An Introduction to Materials in Medicine*, Academic Press, pp. 52, 53, & 62 (1996).
- Ricciardi et al., "Structure and Properties of Poly(vinyl alcohol) Hydrogels Obtained by Freeze/Thaw Techniques," *Macromol. Symp.*, 222: 49-63 (2005).
- Schwartz et al., "Underlying Mechanisms at the Bone-Biomaterial Interface," *Journal of Cellular Biochemistry*, 56:340-347 (1994).
- Singh et al., "Polymeric Hydrogels: Preparation and Biomedical Applications," *J. Sci. Ind. Res.*, 39:162-171 (1980).
- Stauffer et al., "Poly(vinyl alcohol) hydrogels prepared by freezing-thawing cyclic processing," *Polymer* 33(1818):3932-3936 (1992).
- Stewart et al., "Protein release from PVA gels prepared by freezing and thawing techniques," *Proc. Int. Symp. Controlled Release Bioact. Mater.*, 26<sup>th</sup>, 1004-1005 (1999).
- Szczeszna-Antezak et al., "*Bacillus subtilis* cells immobilised in PVA-cryogels," *Biomolecular Engineering*, vol. 17, pp. 55-63 (2001).
- The American Heritage® Science Dictionary [online], Houghton Mifflin Company, 2002 [retrieved on Jun. 3, 2008]. Retrieved from the internet: <URL: <http://dictionary.reference.com/browse/pore>>.
- Watae et al., "Rheological and DSC Changes in Poly(vinyl alcohol) Gels Induced by Immersion in Water," *Journal of Polymer Science, Polym. Phys. Ed.*, 23(9): 1803-1811 (1985).

(56)

**References Cited**

## OTHER PUBLICATIONS

Watase et al., "Thermal and rheological properties of poly(vinyl alcohol) hydrogels prepared by repeated cycles of freezing and thawing," *Makromol. Chem.*, v. 189, pp. 871-880 (1988).

Willcox et al., "Microstructure of Poly(vinyl alcohol) Hydrogels Produced by Freeze/Thaw Cycling," *Journal of Polymer Sciences: Part B: Polymer Physics*, vol. 37, pp. 3438-3454 (1999).

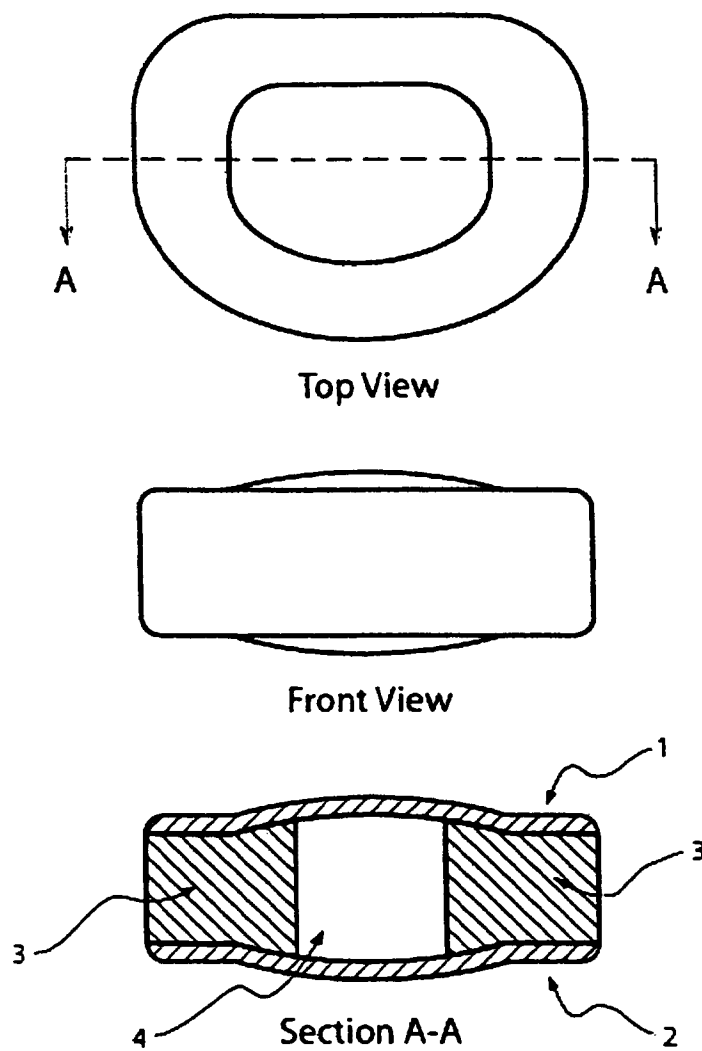
WordNet® 3.0 [online]. Princeton University, 2006 [retrieved on Aug. 6, 2008]. Retrieved from the Internet: <URL: <http://dictionary.reference.com/browse/mesh>>.

Yamaura et al., "Properties of Gels Obtained by Freezing/Thawing of Poly(vinyl Alcohol)/Water/Dimethyl Sulfoxide Solutions," *J. Appl. Polymer Sci.*, 37:2709-2718 (1989).

Yokoyama et al., "Morphology and structure of highly elastic poly(vinyl alcohol) hydrogel prepared by repeated freezing-and-melting", *Colloid & Polymer Science*, vol. 264, No. 7, pp. 595-601 (1986).

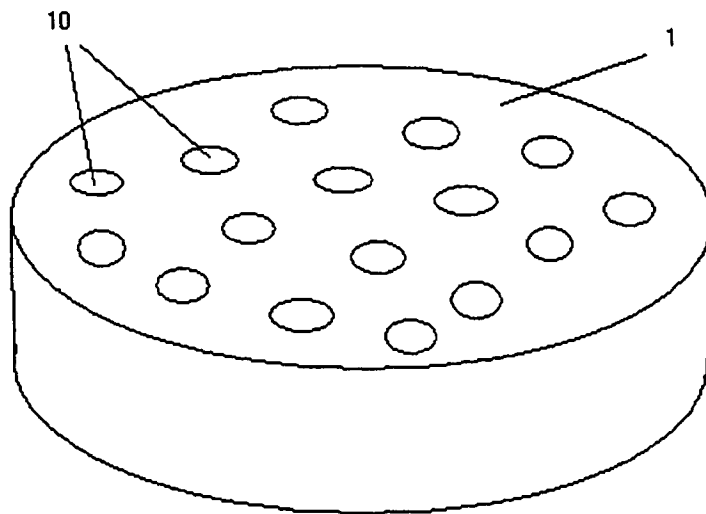
Zheng-Qiu et al., "The development of artificial articular cartilage—PVA-hydrogel," *Bio-Medical Materials and Engineering*, vol. 8, pp. 75-81 (1998).

Bray et al., Poly(vinyl alcohol) Hydrogels for Synthetic Articular Cartilage Material, *M. Biomed. Mater. Res.*, vol. 7, pp. 431-443.



**Figure 1**

Figure 2





## HYDROGEL IMPLANT WITH SUPERFICIAL PORES

### CROSS REFERENCE TO RELATED APPLICATIONS

This application is a continuation of U.S. patent application Ser. No. 11/053,409, filed Feb. 7, 2005, which claims the benefit of U.S. Provisional Patent Application Ser. No. 60/542,389, filed Feb. 6, 2004, both of which are incorporated by reference herein in their entireties.

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The invention relates to spinal disc replacement devices, particularly devices which mimic native spinal discs, for implantation which is compatible with living tissue. The invention also relates to implants suitable for load-bearing surfaces in the repair of tissue, replacement or augmentation, and methods of using such. One embodiment of the invention relates to an implantable spinal disc prosthesis.

#### 2. Background Art

Materials used in the construction of implantable medical devices must be nontoxic, nonantigenic, and noninflammatory. Hydrogels are a preferred type of polymeric material for implantable devices. Because of their high water content, analogous to living tissue, they are superior in biocompatibility to non-hydrous polymeric materials.

U.S. Pat. No. 5,981,826, issued to Ku et al., describes the preparation of polyvinyl alcohol hydrogels (PVA-H) by physically crosslinking an aqueous solution of polyvinyl alcohol (PVA) to produce a gel. The crosslinking is accomplished by subjecting the aqueous PVA solution to multiple cycles of freezing and thawing. One limitation of the prior art is that the hydrogels produced are relatively nonporous and the pore size and degree of porosity, that is the density of the pores within the hydrogel, cannot vary independently of the mechanical properties or stiffness of the hydrogel.

Methods for producing certain porous hydrogels also exist in the art. U.S. Pat. No. 6,268,405, issued to Yao et al., describes methods for creating porous PVA-Hs by including immiscible materials in the polymerization process. After the hydrogel is polymerized, the included immiscible materials are washed out of the hydrogel by an appropriate solvent, yielding pores which are broadly distributed throughout the hydrogel. Controlling the size and density of the pores is accomplished by varying the molecular weight of the immiscible materials. A disadvantage of Yao et al. is that the range of attainable pore sizes is limited. Moreover, the invention of Yao et al. is limited in that it can only produce hydrogels whose pores extend throughout the hydrogel. The pores in Yao et al. are intended to create vascularization of the hydrogel in soft or non-load bearing tissue. A further disadvantage of Yao et al. is that the pore sizes are broadly distributed about the average pore size.

Artificial discs intended for the replacement of a damaged intervertebral disc have been described. These are typically articulated devices comprising two rigid metal plates adhered to opposite ends of an elastomeric core. In use, the artificial disc is placed in the intervertebral space and the metal plates are secured to the surfaces of adjacent vertebrae. Various embodiments of artificial discs of this type are described in U.S. Pat. Nos. 5,674,296 and 6,156,067, issued to Bryan et al., U.S. Pat. No. 5,824,094, issued to Serhan et al., U.S. Pat. No. 6,402,785, issued to Zdeblick et al. More recent embodiments, e.g. U.S. Pat. No. 6,419,704, issued to Ferree and U.S.

Pat. No. 6,482,234, issued to Weber et al., include descriptions of elastomeric cores that may be formed from materials with different elasticities to better mimic the native structure of spinal discs.

Artificial discs have also been described wherein the disc is comprised of a flexible urethane silicone blend core and two identical rigid surfaces on either side of the core. U.S. Pat. No. 6,607,558 to Kuras describes such a disc where nail like projections extend from the surface to fixate the disc between the vertebrae. Such a disc also possesses a different material for the end plates as for the elastic core.

The disadvantage of the artificial disc devices of the prior art are numerous. These prior art devices require the mechanical attachment of rigid artificial materials, such as titanium, directly to the bone with screws, staples, nails, cement, or other mechanical means. These rigid materials are only minimally compatible with natural, living bone and separation of the implant from the bone is often observed over long-term implantation. In addition, materials used in artificial discs of the prior art have physical and mechanical properties distinctly different from those of natural spinal discs and thus inadequately duplicate the desired properties of native spinal discs.

Vertebral fusion is still the most commonly performed procedure to treat debilitating pain associated with degenerative spinal disc disease or disc trauma, despite the fact that the procedure has many drawbacks. Vertebral fusion increases stress and strain on the discs adjacent to the fusion site, and it is now widely accepted that fusion is responsible for the accelerated degeneration of adjacent levels. Current multi-component spinal disc prosthesis designs, elastomeric cores with metal plates on both the upper and lower surfaces, are susceptible to problems with interfacial bonding and wear. These designs have shown spontaneous device detachment due to retraction of bone tissue from the metal surface.

Bone ingrowth and attachment in the art has often required the use of bone promoting growth factors. For example, U.S. Pat. No. 5,108,436, issued to Chu et al., describes using a porous implant for use in load bearing bone replacement which is used in combination with an osteogenic factor such as TGF- $\beta$ .

Biomedical devices which are implanted in or around bone often fail because of fibrinogen encapsulation of the implant instead of cellular attachment to the implant itself. This encapsulation is a defensive reaction attempting to minimize contact between the body and the implant and is considered a sign of implant incompatibility.

Moreover, the art of bone ingrowth onto implantable surface contains a multitude of examples relating to porous directed ingrowth where bone essentially grows into and around channels of the implant. For example, U.S. Pat. No. 4,911,720, issued to Collier et al., discusses the ingrowth of bone into interconnecting pores which essentially locks bone into place. This method is disadvantageous in that bone does not actually attach itself to the material, instead bone attaches to other bone around the implant. In the unfortunate event that an implant must be removed, this type of Collier ingrowth results in large amounts of disruption to the surrounding bone tissue.

### SUMMARY OF THE INVENTION

The present invention describes a hydrogel for implantation into a load bearing space within the body. The hydrogel has a textured surface on it which is comprised of superficial surface pores. Stated differently, the pores on the surface of the hydrogel substrate do not extend throughout the hydrogel

but instead remain within a region near the surface. The pores on this hydrogel substrate can have an average diameter of between 1 and 100 micrometers. Preferably the average diameter of surface pores on the hydrogel substrate is between 5 and 50 micrometers, and preferably between 10 and 30 micrometers. The superficial pores of this hydrogel substrate can vary in size by less than 50%, preferably less than 30%, and preferably less than 10%. The hydrogel substrate of the present invention can be made up of polyvinyl alcohol and can have a water content of at least 5% w/w of the overall hydrogel. The hydrogel substrate of the present invention could be used in any load bearing implantable device application including, but not limited to, a spinal disc replacement. The present invention when used as a spinal disc replacement can possess the surface pores in the proper configuration and be additionally comprised of multiple regions of varying elasticities. It is also possible that the regions of varying elasticities of the spinal disc replacement be comprised of multiple hydrogels as opposed to one hydrogel of varying elasticities.

The present invention also includes a method for making a hydrogel substrate with a textured surface for use in a load bearing biocompatible device. The hydrogel in liquid form is exposed to solid objects or to a mold which when polymerized or hardened results in a hydrogel with a textured surface. The solid objects used to impart the superficial pores may be made of polystyrene beads. Also, the solid objects used to impart the superficial pores may be grit, sand, silicon, silica, and ultra-fine particulate matter. The solid objects used to create the superficial pores can have a diameter of between 1 and 100 micrometers, preferably between 5 and 50 micrometers, and preferably between 10 and 30 micrometers. The solid objects used to create the superficial pores of this invention can be removed, for example, by use of an organic solvent or other washing means. This hydrogel substrate can be comprised of poly-vinyl alcohol possessing a water content of at least 5% w/w of the overall hydrogel.

#### BRIEF DESCRIPTION OF DRAWINGS

FIG. 1 is an elevation view of spinal disc replacement made in accordance with one embodiment of the present invention.

FIG. 2 is a schematic of a surface generated in accordance with one embodiment of the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention is drawn to an implantable hydrogel substrate comprising a hydrogel surface having a plurality of superficial pores thereon. The pores on this hydrogel substrate can have an average diameter of between 1 and 100 micrometers. Preferably the average diameter of surface pores on the this hydrogel substrate is between 5 and 50 micrometers, and preferably between 10 and 30 micrometers. The superficial pores of this hydrogel substrate can vary in size by less than 50%, preferably less than 30%, and preferably by less than 10%. The hydrogel substrate of the present invention can be made up of polyvinyl alcohol and can have a water content of at least 5% w/w of the overall hydrogel.

One embodiment of the present invention is an artificial disc for implantation into the spine comprising the hydrogel substrate described above. This artificial disc is a hydrogel possessing a plurality of regions having variable elasticity. Specifically, the disc is comprised of a surface region having a higher modulus of elasticity than an interior region. This disc can be prepared using multiple hydrogels with the elastic properties of the one disc with varying regions of elasticity.

The present invention also includes a method for making a hydrogel substrate comprising contacting solid objects with an aqueous hydrogel, allowing the hydrogel to polymerize and crosslink while the solid objects are at least partially immersed in the hydrogel, and removing those solid objects from the polymerized and crosslinked hydrogel to form superficial pores thereon. The solid objects used to impart the superficial pores may be polystyrene beads. Alternatively, the solid objects used to impart the superficial pores may be grit, sand, silicon, silica, and ultra-fine particulate matter. The solid objects used to create the superficial pores and therefore the pores themselves can have a diameter of between 1 and 100 micrometers, preferably between 5 and 50 micrometers, and preferably between 10 and 30 micrometers.

The solid objects used to create the superficial pores of this invention can be removed for example by use of an organic solvent or other washing means. This hydrogel substrate can be comprised of poly-vinyl alcohol possessing a water content of at least 5% w/w of the overall hydrogel.

Accordingly, the present invention is directed to an implantable hydrogel substrate product, a method of making that product, and a method of using that product which substantially improves upon the limitations existing in the art. To achieve these and other advantages in accordance with the purpose of the invention, as embodied and broadly described herein, the invention includes a load bearing biocompatible hydrogel for medical implantation that promotes bone attachment. The hydrogel substrate consists of a hydrogel surface component which has been optimized for implantation. This is accomplished through pores on the surface having a controlled range in distribution of size. The surface pores are superficial and do not extend throughout the hydrogel.

Hydrogels are materials whose state is between that of a solid and of a liquid. Gels consist of polymeric, i.e. long chain, molecules linked together to form a three-dimensional network and are embedded in a liquid medium. In the case of hydrogels, the liquid medium comprises water. The polymer backbone of hydrogels is formed by hydrophilic monomer units and may be neutral or ionic. Examples of neutral and hydrophilic monomer units are ethylene oxide, vinyl alcohol, (meth)acrylamide, N-alkylated(meth)acrylamides, N-methylol(meth)acrylamide, N-vinylamides, N-vinylformamide, N-vinylacetamide, N-vinyl-N-methylacetamide, N-vinyl-N-methylformamide, hydroxyalkyl(meth)acrylates such as hydroxyethylmethacrylate, vinylpyrrolidone, (meth)acrylic esters of polyethylene glycol monoallyl ethers, allyl ethers, of polyethylene glycols, and sugar units such as glucose or galactose. Examples of cationic hydrophilic monomer units are ethyleneimine (in the protonated form), diallyldimethylammonium chloride and trimethylammonium propylmethacrylamide chloride. Examples of anionic monomer units are (meth)acrylic acid, crotonic acid, maleic acid, fumaric acid, itaconic acid, 2-acrylamido-2-methylpropane-sulfonic acid, vinylsulfonic acid, vinylphosphonic acid, 2-methacryloyloxyethanesulfonic acid, 4-vinylbenzenesulfonic acid, allylsulfonic acid, vinyltoluenesulfonic acid and vinylbenzenephosphonic acid.

From the example listing above, a hydrogel for use in the present invention may be selected based upon its biocompatibility and stability at various hydration states. For the purposes of the present invention, a suitable hydrogel will have a moisture content of at least 5% w/w of the overall hydrogel, preferably at least 10%, 15%, 20%, 25%, 30%, 35%, 40%, 50%, 60%, 70%, or 80% w/w of the overall hydrogel.

Initial events following implantation of a biomaterial in an orthotopic surgical site include rapid adsorption of serum constituents onto the implant surface. The first cells that are

likely to come into contact with the surface are polymorphonuclear cells, platelets, monocytes, and macrophages. These cells release bioactive factors that promote mesenchymal cell migration to the wound site. In addition to these natural factors associated with wound healing, surgeons frequently use bone graft and bone graft substitutes to improve bone formation. Such materials include osteoinductive agents such as demineralized bone matrix and bone morphogenetic protein. If appropriate signals are present mesenchymal cells with an osteoprogenitor phenotype will continue to differentiate into osteoblasts; of these a subset will become osteocytes. Ultimately, the newly formed bone will be remodeled via osteoclastic resorption. The present invention also provides that well-known grafting agents may be incorporated into the hydrogel composition, which includes, but is not limited to growth factors, angiogenic agents, antibiotics, and the like.

Chemically modified or polar surfaces are generally known to be able to produce more reactive protein adsorption to the implant surface than unmodified or non-polar surfaces. The increased reactivity of the proteins adsorbed onto the polar surface is thought to promote cellular adhesion to that surface. Therefore, the invention provides that the hydrogel composition can possess chemically modified or polar surfaces.

In general, many materials are well-tolerated in bone, but the success of long-term or chronic implantation often depends on the intimacy of the interface between the material surface and the bone. Microarchitecture of the surface is an important determinant of cell response. It has been observed that osteoblast phenotypic expression is surface-dependent. As described herein, specific surface characteristics enhance osteoblast differentiation while permitting proliferation, leading to optimal cell response to the implantation.

The mechanical properties of the material must be appropriate for the application. When the mechanical properties of the material are similar to the mechanical properties of the tissue adjacent to the implant, tissue tolerance of the artificial material is enhanced. Polymeric and elastomeric biomaterials can be fabricated with a wide range of mechanical properties, making them suitable for many applications as implantable devices. Because of their high water content, similar to that of living tissue, hydrogels are superior in biocompatibility to non-hydrous polymeric materials. Poly-vinyl alcohol (PVA) is an example of a polymer that can be used to form hydrogels, and has been studied extensively for its potential in biomedical applications. Poly-vinyl alcohol hydrogels (PVA-Hs) are biologically well tolerated and compatible with living cartilage tissue.

PVA-Hs can be produced from solution via repeated freezing and thawing cycles that increase the order of the microcrystalline regions, changing the dissolution properties, mesh size, and diffusion properties of the polymer. Also, PVA-Hs can be produced from solution via a slow and sustained transition through the freezing point of the solution. The mechanical properties of PVA-Hs can be varied over a wide range, and stable PVA gels can easily be produced to have an elastic modulus ranging from a few MPa, such as articular cartilage, to about 50 MPa, such as the stiffest portion of the annulus of spinal discs.

Increasing the porosity of a hydrogel substrate produces decreased mechanical strength. When porous hydrogels are used to provide the requisite surface of the present invention, it is advantageous that the porosity not extend throughout the hydrogel, but be limited to a relatively shallow depth below the surface. The thickness of the porous portion of the hydro-

gel is preferably less than 1 millimeter, less than 500 micrometers, and most preferable less than or equal to 200 micrometers.

The hydrogel substrates of the present invention can be used for implantation into any part of the body. One embodiment of this invention is an artificial intervertebral disc, comprising one or more hydrogels shaped substantially similarly to a natural intervertebral disc. The upper and lower surfaces of the hydrogel, or assembly of hydrogels, are constructed to have a rough or textured surface with a defined porosity. That porosity depends primarily upon the size of a solid object used to create the surface texture. The surface texture is created by the distribution of pores that do not continue throughout the hydrogel, or, in other words, the pores are superficial. The size of the pores can be from 2 to 100 micrometers, preferably from 5 to 50 micrometers, and preferably, from 10 to 30 micrometers.

The porosity of the hydrogel surface embodied in this invention may be realized in a variety of ways. Molds may be constructed with patterning on the appropriate surfaces of the cavities in the mold. Alternatively, the porosity may be produced by abrasion of a smooth hydrogel surface after molding. Abrading the surface with grit will result in a surface textured such as desired in this invention. Techniques for applying and using abrasives are well known to those of skill in the art.

One technique for producing the surface roughness of artificial discs of this invention involves providing solid objects having the size and shape of the required surface rugosity and then using these solid objects as a template for the construction of a mold. Alternatively, these solid objects may be embedded in the hydrogels of the present invention during the molding process and removed afterwards. Removal of the solid objects leaves behind on the surface of the hydrogel pores, cavities, and other invaginations required for the texture of the surface to be obtained.

One example of a material that can be used as solid objects to impart the surface texture on the hydrogels of the present invention is polystyrene. Polystyrene beads, commonly called latex beads, are commercially available in sizes ranging from 0.02 to 1000 micrometers, and can have a very narrow size distribution. Such a narrow size distribution is advantageous for applications requiring uniform surface roughness. For example, when using polystyrene beads with an average diameter of 20.3  $\mu\text{m}$  an acceptable range for the distribution of bead size would be  $\pm 0.6 \mu\text{m}$ , preferably  $\pm 0.5 \mu\text{m}$ , and preferably  $\pm 0.4 \mu\text{m}$ . Polystyrene beads may be obtained having surface functional groups useful for covalent chemical attachment of various chemical entities to the surface of the beads. Polystyrene beads may also be obtained either crosslinked or uncrosslinked. The latter type of beads are insoluble in water, but freely soluble in many organic solvents. Thus, one method for removal of the beads after the molding process is the dissolution of the beads in appropriate organic solvents.

The pores on the textured surface in this embodiment enable the surface to resemble native bone which has undergone osteoclastic resorption. When surface textured hydrogels are used to provide the requisite surface porosity, it is advantageous for the pores not to extend throughout the hydrogel, but instead be limited to a relatively shallow depth below the textured surface. The thickness of the porous portion of the hydrogel surface is preferably less than 1 millimeter, preferably less than or equal to 500 micrometers, and preferably less than or equal to about 200 micrometers.

The hydrogels of the present invention may contain bioactive factors to further stimulate cell growth or differentiation.

These factors, for instance attachment peptides, such as RGD containing peptides, and growth factors such as bone morphogenic proteins, insulin-like growth factor, platelet derived growth factor, fibroblast growth factor, cartilage-derived growth factor, transforming growth factor-beta, and parathyroid hormone related peptide, as well as other regulatory chemicals such as statins, prostaglandins, and mineral ions well-known in the art. These factors may be included in the hydrogels of this invention singly or in combination, and they may be included with or without binding proteins.

The hydrogels of the present invention may also contain bone or cartilage forming cells (osteoblasts or chondrocytes) or precursor cells to bone and cartilage forming cells such as mesenchymal stem cells or osteoprogenitor cells. These precursor cells have the capacity to differentiate into bone and/or cartilage forming cells. Cells may be included in the hydrogels of the present invention alone or in combination with bioactive factors to further stimulate cell growth or differentiation.

Natural intervertebral discs have a tough outer fibrocartilaginous ring called the annulus fibrosus and a soft, inner, highly elastic structure called the nucleus pulposus. The artificial discs of the present invention may contain an inner core constructed to mimic the physical and mechanical properties of the natural nucleus pulposus, surrounded by an annular region constructed to mimic the physical and mechanical properties of the natural annulus fibrosus.

In one embodiment, these regions comprise hydrogels whose water content, degree of polymerization, and degree of crosslinking are adjusted to produce the requisite physical and mechanical properties. The hydrogel comprising the inner core has a higher water content and/or a lower degree of polymerization and/or a lower degree of crosslinking to produce a relatively soft and elastic hydrogel. The hydrogel comprising the outer annular region has a lower water content and/or a higher degree of polymerization and/or crosslinking to produce a relatively hard outer hydrogel which mechanically is tough and stiff. The hydrogels comprising the upper and lower surfaces may substantially resemble the hydrogel comprising the annular region in terms of physical and mechanical properties, water content, and degrees of crosslinking and polymerization. The additional requirement, however, for the surfaces to be porous may allow or require a different combination of physical and mechanical properties in these hydrogels compared to the hydrogel comprising the outer annular region.

FIG. 1 shows a spinal disc replacement envisioned by the present invention. The spinal disc has an upper portion 1 and a lower portion 2. It is the hydrogel substrate surfaces of the upper portion 1 and lower portion 2 which possess the porous texture of the present invention. The upper portion 1 and lower portion 2 can be less elastic and more rigid than the inner region 4 which seeks to mimic the nucleus pulposus. Likewise, the spinal disc may have an intermediate region of elasticity 3 which further aids in the function of the spinal disc. The intermediate region of elasticity 3 may or may not differ from the elasticity of either the inner region 4 or the upper portion 1 or lower portion 2.

FIG. 2 shows the upper portion 1 of the spinal disc of FIG. 1 possessing superficial surface pores 10 of the present invention. The sizing of these pores as described herein promotes differentiation of cells into desired tissues, such as bone or bone-like cells, and induces the attachment of those cells to the surface.

In one embodiment, the superficial pores can be created with solid polystyrene beads of 20.7  $\mu\text{m}$  to 19.9  $\mu\text{m}$  of average diameter suspended in the hydrogel solution, or coated on the

appropriate surfaces of the mold prior to crosslinking of the hydrogel. After crosslinking, the polystyrene beads are removed by dissolving them in a solvent such as dimethyl formamide or its equivalent that does not dissolve the hydrogel. Such treatment produces porosity and surface roughness with dimensions approximately equal to the diameter of the polystyrene beads. The invaginations left behind after removal of the polystyrene beads contribute to the controlled surface texture desired in the present invention. The polystyrene beads of this embodiment may also be adhered to a surface and used as a part of a mold, or as a template in the construction of a mold.

In another embodiment of this invention, the solid object used in the casting of a rough hydrogel surface is grit. Grit can be any solid object that is small with a narrow size distribution. Examples of grit include sand, silica particles, silicone particles, metal shot, etc. Those skilled in the art would recognize the need to match the grit used to the hydrogel along with the method of removing the grit leaving the rough or porous surface.

A silica grit of appropriate size can be used to impart the proper level of porosity on the surface of a hydrogel. As was seen with the polystyrene, the sand is placed in the bottom of a mold and the aqueous hydrogel is poured into the mold. The hydrogel is allowed to crosslink and polymerize and is then removed from the mold. The hydrogel is then washed to remove the grit leaving behind the invaginations and pores which make up the textured surface of the hydrogel implant. Grit may also be adhered to a surface and used as a part of a mold, or as a template in the construction of a mold.

In yet another embodiment of the present invention, the mold used in the formation of the hydrogel substrate can be any type of material around which the hydrogel forms. For example, the mold can be a series of beads, grit, filter screens, mesh, wires, glass tubing, and the equivalents of these materials or items. Once the hydrogel has been allowed to form around the mold element, the mold is removed from the hydrogel manually, chemically, or any other means which will allow the hydrogel to remain intact once the mold has been removed.

In yet another embodiment of the present invention, the hydrogel substrate can be a load bearing patch which can be used in the repair of partially or predominately damaged tissue. For example, the hydrogel substrate bearing the textured surface of the present invention can be relatively thin and small in diameter. That hydrogel substrate can then be placed where deteriorated, either acutely or chronically, cartilage was removed.

In yet another embodiment of the present invention, the hydrogel substrate can be assembled outside the body in a malleable form. The malleable form of the hydrogel substrate can then be placed in the intended area, be it a spinal disc replacement, knee cartilage replacement, shoulder bursa repair, or other use one skilled in the art would foresee. Once in the proper position, the malleable hydrogel substrate could be hardened or polymerized via photopolymerization. Radiation curing or photopolymerization (photo-induced free radical polymerization) has become an important and useful technique for applying and curing coatings, inks and adhesives. Radiation-curable compositions typically comprise as essential components one or more radiation-curable monomers and a photoinitiator. The compositions are applied as a coating to various articles and surfaces and the monomers are polymerized to form a film by exposing the coating of the radiation-curable composition to radiation, typically ultraviolet (UV) or electron-beam radiation.

## EXAMPLES

## Example 1

## Attachment of Polystyrene Objects to a Surface

To a suspension of carboxyl-modified polystyrene beads (20.3  $\mu\text{m}$ .  $\pm$  0.43  $\mu\text{m}$  diameter, Bangs Laboratories) in 20 mM MEW, pH 4.4 is added a 10-fold excess of water-soluble carbodiimide, 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride. After 15 minutes at room temperature, the beads are washed twice by centrifugation and suspension in 20 mM HEPES, pH 7.5 and then resuspended in the same buffer. The resulting suspension is added to the wells of a 24-well tissue culture plate made of polycarbonate, having an amino-modified surface (Nalge Nunc International). After 60 minutes at room temperature, the unreacted beads are decanted, and the wells are washed gently with deionized water. Microscopic analysis shows the bottom surface of the wells are covered with a monolayer of polystyrene beads at a density of approximately 50%.

The surface with attached polystyrene objects of the previous example may be used as a template to fabricate a mold for providing the desired porous surface of the hydrogels of the present invention. This may be accomplished by making a metallic replica of a surface comprising a plurality of polystyrene objects using sputtering and/or metal plating techniques and the like, all of which are well known to those of skill in the art. The metallic replica thus produced may be replicated again and reinforced with further metal or other components, again using methods well known to those skilled in the art. The result is a mold suitable for producing the surface texture of the hydrogels of the present invention.

The polystyrene objects of the foregoing example may also be included in the hydrogels of the present invention during the molding process. Subsequent removal of the polystyrene objects provides the controlled surface porosity provided for the hydrogels of the present invention. This is illustrated in the following example.

## Example 2

## Construction of a PVA-H with Surface Topography for Implantation as a Spinal Disc Prosthesis

A two-part mold with inserts is used to produce the artificial disc depicted in FIG. 1. The upper and lower halves of the mold are first separated to mold the upper and lower surface regions of the disc. The surface of each corresponding upper and lower surface is created by suspending in the aqueous hydrogel the objects for creating the superficial pores. That suspension is then poured into the well of a mold at a depth no greater than the desired depth of the superficial pores. That layer of hydrogel is allowed to polymerize and crosslink. From that base layer creating the outermost layer of the corresponding surface, the remainder of the bulk of the hydrogel substrate can be built up by adding additional depth of aqueous hydrogel.

A 30% w/w poly(vinyl alcohol) solution is prepared by mixing poly(vinyl alcohol) polymer (124,000-186,000 Av. MW, 99+% saponification, Aldrich Chemical Company) in sterile, deionized water. The polymer is dissolved by heating the mixture in an autoclave at 120° C. for 30 minutes. To a portion of the viscous liquid solution is added 30% w/w of the polystyrene objects from Example 1. This is mixed until a uniform suspension is obtained. To each of the cavities in each half of the mold is added a sufficient amount of this

suspension to coat the surfaces of the mold cavities to a thickness of 200  $\mu\text{m}$ . Inserts are placed in each cavity to spread the suspension across the surfaces of the cavities and maintain the 200  $\mu\text{m}$  thickness.

The two halves of the mold are then subjected to five cycles of freezing and thawing. In each cycle, the molds are placed in a freezer at about -20° C. for approximately 12 hours then removed from the freezer and placed at room temperature for approximately 12 hours. The inserts defining the first 200  $\mu\text{m}$  thickness of the top portion of the upper surface and the bottom portion of the lower surface are removed from the molds, and an additional amount of the 30% poly(vinyl alcohol) solution (without polystyrene objects) is added to the mold cavities. The amount added is sufficient to increase the thickness of the top portion of the upper surface and the bottom portion of the lower surface to 1 mm. Inserts are placed in each cavity to spread the solution and maintain the 1 mm thickness.

The two halves of the mold are subjected to five additional cycles of freezing and thawing as above. The inserts defining the top portion of the upper surface and the bottom portion of the lower surface are removed from the molds, and annular inserts defining the shape of the core region are placed in the cavities of the lower half of the mold. A 20% w/w poly(vinyl alcohol) solution is prepared by mixing poly(vinyl alcohol) polymer (89,000-98,000 Av. MW 99+% saponification, Aldrich Chemical Company) in sterile, deionized water and dissolving as above. The solution is filled into the annular inserts and the mold is subjected to five additional cycles of freezing and thawing. The annular inserts are removed, the two halves of the mold are assembled and clamped together, and the areas of the mold corresponding the annular region are filled with 30% poly(vinyl alcohol) solution. The assembled mold is subjected to five more cycles of freezing and thawing. The molded artificial discs are removed from the mold and immersed in dimethyl formamide to dissolve the included polystyrene objects, the rinsed three times with deionized water.

The artificial disc produced by the foregoing example has a soft and elastic inner core while the outer annular region and upper and lower surfaces are relatively hard, tough, and stiff. The surface of the artificial disc is smooth, except on the top portion of the upper surface and the bottom portion of the lower surface where the removal of the polystyrene objects produces a rough or rugose surface with a roughness of 20  $\mu\text{m}$ . When artificial discs made according to this procedure are implanted into the intervertebral spaces of dissectomized rabbits, extensive bone growth onto the surface occurs within 3 weeks.

Although the invention has been described with reference to a particular preferred embodiment with its constituent parts, features and the like, these are not intended to exhaust all possible arrangements, mechanical and electrical equivalents, or features, and indeed many other modifications and variations will be ascertainable to those of skill in the art.

What is claimed is:

1. A biocompatible hydrogel configured for implantation into a joint, comprising:

- a top surface configured for attachment to a patient's native tissue;
- a bottom surface generally opposite of the top surface;
- a depth defined between the top surface and the bottom surface;
- superficial pores located within about 1 millimeter of the top surface of the hydrogel;
- wherein the superficial pores do not extend throughout an entire depth of the hydrogel;

## 11

wherein said superficial pores enhance osteoblast differentiation and permit proliferation of osteoblasts; and wherein the hydrogel is load-bearing.

2. The biocompatible hydrogel of claim 1, wherein the hydrogel is configured for implantation into at least one of a knee, shoulder or spine.

3. The biocompatible hydrogel of claim 1, wherein the pores have an average cross-section of between about 1 to 100 micrometers.

4. The biocompatible hydrogel of claim 1, wherein the pores have an average cross-section of between about 10 to 30 micrometers.

5. The biocompatible hydrogel of claim 1, wherein the pores are within about 200 micrometers of the surface of the hydrogel.

6. The biocompatible hydrogel of claim 1, wherein the hydrogel comprises polyvinyl alcohol.

7. The biocompatible hydrogel of claim 1, wherein the hydrogel has a moisture content of at least 5% w/w of the overall hydrogel.

8. The biocompatible hydrogel of claim 1, wherein the hydrogel has a moisture content of at least 30% w/w of the overall hydrogel.

9. The biocompatible hydrogel of claim 1, wherein the hydrogel comprises a chemically-modified or polar surface to promote cellular adhesion.

10. A load bearing hydrogel implant comprising:  
a first surface having a plurality of superficial pores thereon, said first surface being configured for attachment to a patient's native joint tissue;  
wherein said superficial pores are located at or near the first surface;

## 12

wherein said superficial pores do not extend throughout said hydrogel;

wherein the hydrogel is configured for implantation into a joint;

wherein the superficial pores have an average cross-section of between about 1 and 100 micrometers; and

wherein the pores are within about 1 millimeter of the hydrogel surface.

11. The hydrogel implant of claim 10, wherein the hydrogel is configured for implantation into at least one of a knee, shoulder or spine.

12. The hydrogel implant of claim 10, wherein the pores have an average cross-section of between about 1 to 100 micrometers.

13. The hydrogel implant of claim 10, wherein the pores have an average cross-section of between about 10 to 30 micrometers.

14. The hydrogel implant of claim 10, wherein the pores are within about 1 millimeter of the surface of the hydrogel.

15. The hydrogel implant of claim 10, wherein the pores are within about 200 micrometers of the surface of the hydrogel.

16. The hydrogel implant of claim 10, wherein the hydrogel comprises polyvinyl alcohol.

17. The hydrogel implant of claim 10, wherein the hydrogel has a moisture content of at least 5% w/w of the overall hydrogel.

18. The hydrogel implant of claim 10, wherein the hydrogel has a moisture content of at least 30% w/w of the overall hydrogel.

\* \* \* \* \*